

1. There are several mentions of a “Financial Audit” within the RFP documentation. For clarification purposes, can you confirm that this is a Forensic Audit/Examination only, which will result in a Forensic Examination Report detailing the winning firm’s findings in regards to the outlined grant funding and expenditures? **This is a Forensic Financial Audit.**
2. What is your expectation for on-site work? Once documentation is obtained, can the awarded firm complete the analysis within its own office? We understand that certain procedures will need to be completed on-site. **We have no expectation that work will be complete on-site. Documentation will be at 3813 N. Santa Fe Suite 135, Oklahoma City, OK, and can be taken to complete the analysis within the awarded bidder’s own office.**
3. How many references do you prefer in our proposal response? **3 reference**

For the cover page, does it need to be formatted as the example on Page 12 of the RFP? Or can we use our typical cover page as long as it includes all the required information? **Formatted like the example.**

4. Does the Board have an estimated budget for the Forensic Audit? **No estimate budget**
5. What is the predication or basis for the requested forensic audit? If there is a financial concern(s), can any additional information be shared regarding specifics? **The U.S. Department of Labor, Employment and Training, Dallas Division issued a monitoring report August 2022. This report requested a Forensic Audit be completed.**
6. On page 4 of the RFP, it states COWIB is requesting proposals from qualified firms to provide a forensic audit for the 2020 and 2021 program year. It also states the audit will be both a financial and compliance audit. For scope clarification purposes, is COWIB seeking a forensic accounting consulting engagement to assist with resolving a specific financial concern(s) **or** is COWIB seeking an assurance service such as a financial audit for compliance purposes? **Specific financial concern.**
7. Will documentation be available from the pass-through entity of the grants? **Yes**
8. Can supporting documentation be provided electronically (e.g., scans of purchase orders, invoices and checks)? **Yes**
9. The compliance supplement for 2021 states the following areas were not required to be audited for compliance. If selected, will you want us to perform a forensic audit over these areas, in addition to the areas noted as required?
 1. Allowable Costs/Cost Principles
 2. Cash Management
 3. Equipment Real Property Management
 4. Procurement Suspension and Debarment
 5. Program Income
 6. Special Test and Provisions

Yes, all listed 1-6 will need to be performed along for both program years, along with the forensic financial audit.

10. Will the individuals involved with the grant in program years 2020-2021 be available for interviews? Some of the individuals are still on staff, most are not. Those that are on staff will be available for an interview.